

Jim Doyle
Governor

**WISCONSIN DEPARTMENT OF
REGULATION & LICENSING**



1400 E Washington Ave
PO Box 8935
Madison WI 53708-8935
Email: web@drl.state.wi.us
Voice: 608-266-2112
FAX: 608-267-0644
TTY: 608-267-2416

**ACCOUNTING EXAMINING BOARD MEETING
ROOM 179A, 1400 EAST WASHINGTON AVENUE, MADISON WI
FRIDAY, AUGUST 15, 2003
9:00 A.M.**

1. **CALL TO ORDER**
 - a. Declaration of Quorum
2. Adoption of Agenda
3. Approval of Minutes
 - a. Board Meeting (May 16, 2003)
4. Administrative Report, Patricia Hoeft, Acting Director, Bureau of Business and Design Professions
 - a. Board Roster
 - b. 2003 Meeting Dates
 - c. Proposed 2004 Meeting Dates
 - d. Summary Reports on Pending Court Cases, Disciplinary Cases and Administrative Rules and Monthly Press Release of Disciplinary Orders (red folder)
 - e. Fee study update-Jim Johnson
5. NASBA
 - a. National Association of State Boards of Accountancy Annual Meeting, Oct. 26-29, 2003, Maui, Hawaii
 - b. NASBA 2003 Regional meeting report-Frank Probst
6. Administrative Rules
 - a. Identify effective date for Clearinghouse Rule 02-119 (Peer Review)-William Dusso
 - b. Public Hearing re: Modernization Act – 9:30 a.m.
 - c. Status of Clearinghouse Rule 02-120 relating to a requirement for independence by certified public accountants
 - d. Final approval of Clearinghouse Rule 02-149 relating to a new computer-based examination
7. Examination/Application Issues
 - a. Status update on new process for application review-Kate Tucker
 - b. Status update on need for Wisconsin Ethics Exam-Frank Probst
 - c. Discuss “substantially related to accounting” and UAA Section 23-William Dusso and Thomas Kilkenny
 - d. Transitioning Policies for Conditioning-Dr. Barbara Showers/Darwin Tichenor
 - e. CPA Australia and IQEX re: June 24, 2003 correspondence-Darwin Tichenor
8. Practice Issues
 - a. CPA firm ownership question re: Bart Adams correspondence-William Dusso

9. Board Member Activity
10. Presentation of Proposed Stipulations by Prosecutor or Any That May Be Submitted After Printing of Agenda
11. **CONVENE TO CLOSED SESSION to deliberate on cases following hearing (s. 19.85(1)(a), Stats.; consider closing disciplinary investigation with administrative warning (s. 19.85(1)(b), Stats. and 440.205, Stats., to consider individual histories or disciplinary data (s. 19.85 (1)(f), Stats.; and, to confer with legal counsel (s. 19.85(1)(g), Stats.)**
 - a. Monitoring Report
 - b. Case Closings and Case Status Report
 - c. Class 1 Hearing
 - d. Deliberation on Stipulations, Administrative Warnings and/or Disciplinary Actions that May be Signed After Printing of Agenda
 - e. Pending Applications
 - f. Reinstatement Requests
 - g. Examination Issues
12. **RECONVENE TO OPEN SESSION**
13. Vote on Items Considered or Deliberated Upon in Closed Session, If Voting is Appropriate
14. Such Other Matters as Defined by Law
15. **ADJOURNMENT**

ACCOUNTING EXAMINING BOARD SCREENING PANEL
Room 179A, 1400 East Washington Avenue, Madison WI
Contact: David O'Connell (608-261-4490)
Friday, Aug. 15, 2003
8:30 A.M.

1. Call Open Session to Order
2. Convene to Closed Session to consider discipline (s. 19.85(1)(b), Stats., and to consider individual histories or disciplinary data (s. 19.85(1)(f), Stats.
 - a. Screen complaints received from the public against credential holders. The Panel consists of 2 Board members and Department staff.
3. Reconvene to Open Session
4. Vote on Items Considered or Deliberated Upon In Closed Session
5. Adjournment

MEETINGS AND HEARINGS ARE OPEN TO THE PUBLIC UNLESS OTHERWISE NOTED. IF A BOARD ADJOURNS TO CLOSED SESSION, RECONVENES IN OPEN SESSION TO VOTE ON ITEMS CONSIDERED IN CLOSED SESSION, AND DURING VOTING DETERMINES THAT ADDITIONAL DELIBERATIONS ARE NECESSARY FOR VOTING, THE BOARD MAY ADJOURN TO CLOSED SESSION TO CONTINUE DELIBERATIONS AND THEN RECONVENE INTO OPEN SESSION FOR VOTING.

Meetings and hearings may be cancelled without notice. Times listed for meeting items are approximate and depend on the length of discussion and voting. The board may also consider in closed session, stipulations filed after the printing of this notice. Meetings may be confirmed by a telephone call to the listed contact person. Examinations are open only to authorized candidates. Times listed for the commencement of disciplinary hearings may be

changed by the examiner for the convenience of the parties. All meetings are held at 1400 East Washington Avenue, Madison, Wisconsin, unless otherwise noted. For a complete copy of the board's agenda, contact the board listed above. Interpreters for the hearing impaired provided on request. Contact the Affirmative Action Officer, (608) 266-2112.